S/N 10/004,623

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BURFEIND ET AL.

Examiner:

Taylor, Victor J.

Serial No.:

10/004,623

Group Art Unit:

2863

Filed:

December 3, 2001

Docket No.:

14305.6USC1

Title:

GENERATION AND DISTRIBUTION OF PERSONALIZED MULTIMEDIA

NATURAL PHENOMENOLOGICAL INFORMATION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV322887254US Date of Deposit: December 23, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Petition, Commissioner of Patents and Trademarks, Alexandria, Virginia 22313-1450.

Name: Teresa Anderson

DECLARATION OF NICHOLAS P. JOHNS

I, Nicholas P. Johns, declare as follows:

- 1. I am a registered patent attorney and an associate at the law firm of Merchant & Gould P.C., in Minneapolis, Minnesota. My registration number is 48,995.
- 2. I presently prosecute the above-captioned application for Digital Cyclone, Inc. ("Digital Cyclone").
- 3. The above-captioned application was filed by the Applicant on December 3. 2001, via legal counsel at Schwegman, Lundberg, Woessner & Kluth ("Schwegman"). This application is assigned to Digital Cyclone, Inc. ("Digital Cyclone").
- 4. On or about February 28, 2003, Digital Cyclone transferred all of its files from Schwegman to Merchant & Gould, where this case is now being prosecuted.
- 5. On March 10, 2003, papers were filed with the U.S.P.T.O. granting Merchant & Gould power of attorney in this matter, and directing future correspondence to Merchant & Gould.

- 6. On March 24, 2003, a first Office Action was mailed. According to the cover sheet associated with the Office Action, the Office Action was mailed to Schwegman.
- 7. At some point following receipt of the Office Action by Schwegman, the Office Action was received by Merchant & Gould, although I am not certain of when this occurred.
- 8. At Merchant & Gould, correspondence from the U.S.P.T.O. is routed through a docketing department and is entered into a computerized docketing system to ensure timely response.
- 9. Because the Office Action was forwarded to Merchant & Gould through an intermediary, it was not identified as correspondence from the U.S.P.T.O., and was never entered into the docketing system. For this reason, Applicant unintentionally failed to respond to the Office Action within the permissible six-month period.
- 10. At the time the Notice of Abandonment was received, I examined the file jacket, and the Office Action was not found therein. Docket records show that the Office Action was never received.
- 11. On September 25, 2003, attorneys from Merchant & Gould and representatives from Digital Cyclone attended a meeting. Mr. Gregson and I were present at this meeting.
- 12. During the meeting of September 25, 2003, strategy regarding Digital Cyclone's patent portfolio was discussed. The above-captioned application figured prominently in that discussion.
- 13. At no point during the meeting did any person state or suggest in any way that the above-captioned application was abandoned or even at risk of abandonment.
- 14. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that

statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 612.332.5300

Dated: 23 Dec. 7003

Name: Nicholas P Johns

Reg. No.: 48,995 NPJohns/Ifalla DEC 2 2 2003

S/N 10/004,623 **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BURFEIND ET AL.

Examiner:

Taylor, Victor J.

Serial No.:

10/004,623

Group Art Unit:

2863

Filed:

December 3, 2001

Docket No.:

14305.6USC1

Title:

GENERATION AND DISTRIBUTION OF PERSONALIZED MULTIMEDIA

NATURAL PHENOMENOLOGICAL INFORMATION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV322887254US Date of Deposit: December **33**, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Petition, Commissioner of Patents and Trademarks, Alexandria, Virginia 22313-1450.

Name: Teresa Anderson

DECLARATION OF RICHARD J. GREGSON

I, Richard J. Gregson, declare as follows:

- 1. I am a registered patent attorney and was an associate at the law firm of Merchant & Gould P.C., in Minneapolis, Minnesota, until September 30, 2003. My registration number is 41,804.
- 2. Until my departure from Merchant & Gould, I prosecuted the above-captioned application for Digial Cyclone, Inc. ("Digital Cyclone").
- 3. On July 9, 2003, I conducted a telephone interview of the Examiner assigned to the above-captioned application, Victor J. Taylor.
- 4. During my interview of Mr. Taylor, the topic of how Digital Cyclone intended to proceed arose. I informed Mr. Taylor that I would have to discuss this matter with the client. Further, I stated that Digital Cyclone held several related patent applications, and that the response in the above-captioned application needed to be determined in light of issues in the other applications.

- 5. At no point during my telephone interview did I state or suggest that Digital Cyclone intended to abandon the application or fail to respond to the Office Action in question in this matter.
- 6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such false statements may jeopardize the validity of the application or any patent issued thereon.

18 Dec 2003

Signed

Richard J. Gregson

S/N 10/004,623 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BURFEIND ET AL.

Examiner:

Taylor, Victor J.

Serial No.:

10/004,623

Group Art Unit:

2863

Filed:

December 3, 2001

Docket No.:

14305.6USC1

Title:

GENERATION AND DISTRIBUTION OF PERSONALIZED MULTIMEDIA

NATURAL PHENOMENOLOGICAL INFORMATION

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV322887254US

Date of Deposit: December <u>32</u>, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop Petition, Commissioner of Patents and Trademarks, Alexandria, Virginia 22313-1450

Name: Teresa Anderson

<u>DECLARATION OF JODI GANDEL-KLEIN IN SUPPORT OF PETITION</u> <u>TO WITHDRAW HOLDING OF ABANDONMENT</u>

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I, Jodi Gandel-Klein, declare and state:

- 1. I am the Docketing Supervisor for Merchant & Gould P.C., the law firm prosecuting the above-referenced patent application. I have been Docketing Supervisor since November 17, 1997.
- 2. I am responsible for overseeing all incoming Patent and Trademark Office communications and oversee those responsible for seeing that these communications are properly docketed and entered into our computerized docketing system.
- 3. Incoming mail received by the firm is sorted into correspondence that may require docketing and correspondence that does not require docketing. The correspondence that may

require docketing is further distinguished between correspondence from the Patent and Trademark Office and other correspondence. After the incoming mail has been date stamped to show date of receipt, a member of the firm's docketing department retrieves the mail that may require docketing and brings it to the docketing department. The appropriate personnel then docket the correspondence.

- 4. If such an Office Action had been received, the communication would have been docketed by entering into the computerized docket system the date the Office Action was mailed. The computerized docket system would then calculate the due dates for response to the Office Action up to the six month statutory deadline. The first page of the Office Action would then be initialed by the person docketing the Office Action to indicate that it had been docketed and forwarded to the attorney responsible for the patent application for further action, e.g., reporting to the client, etc.
- 5. I have reviewed the records in the firm's docket system and find no entry for the Office Action for this application, nor any other evidence that would suggest that the Office Action actually was received by the firm.
- 6. In view of the above, I believe that the Office Action for this application was never delivered to the docketing department for docketing.
- 7. The docket record for U.S. Patent Application Serial No. 10/004,623 is enclosed herewith as Exhibit A.
- 8. I declare that all statements made herein of my own knowledge are true and that all statements made on information belief are believed to be true; and further that these statements were made with knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18, of the United States Code and that such willful, false statements may jeopardize the validity of the application or document or any registration resulting therefrom.

Dated: Dec 23, 2003

By: Dated Holin

Told Gandel-Klein

PCMaster v3.03.02 - Merchant & Gould - [Patent] Edit Records Utilities Tools Help Eile _ & X M ? **凤**囯 14305.0006USC1 **Docket Number** Attorney EGS - ERIK G SWENSON Country **United States** Attorney #2 NPJ - NICHOLAS P. JOHNS Case Type Client DIGITAL CYCLONE, INC. Regular Relation Type Continuation Assignee DIGITAL CYCLONE, INC. Filing Type National Previous Owner Filing Number **Client Reference** C1 GENERATION AND DISTRIBUTION OF PERSONALIZED MULTIMEDIA NATURAL PHENOMEOLOGICAL Related Id Inventors Licenses Add'l Info Remarks Ext Files Filing Data Related Party Info Actions Client Info Expenses ** Responsible Atty #1 Action **Action Due** Completed **Action Notes** Date Date Published 02/13/2001! 02/13/2001 03/03/2002! IDS DUE 03/03/2002 SUPPL IDS FILED CLM PRIORITY-119/120 04/03/2002! 04/03/2002 STATUS 04/11/2003 04/11/2003 Status/Address Check 10/11/2003 09/30/2003 REVIVE APPLN/PTA 11/24/2003! 11/24/2003 SEE NOT OF ABAN **REVIVAL 6 MO** 03/24/2004! Status/Address Check 04/11/2004 10/01/2003 FINAL REVIVAL 09/24/2004! TRANSFER Small Entity Status 03/18/2003 Created: 03/11/2003 Updated: 11/24/2003 3:38 PM By: HNK 10:15 AM

E TRADEM

JAN -8 2004 TC 2800 MAIL ROOM

RECEIVED



DEC 2 2 2003 PRADE! PCMaster v3.03.02 - Merchant & Gould - [Patent] Eile Edit Records Utilities Tools Help 7 Docket Number Attorney 14305.0006USC1 EGS - ERIK G SWENSON Country Attorney #2 NPJ - NICHOLAS P. JOHNS United States Case Type Regular Client DIGITAL CYCLONE, INC. Relation Type Assignee DIGITAL CYCLONE, INC. Continuation Filing Type National Previous Owner Filing Number Client Reference GENERATION AND DISTRIBUTION OF PERSONALIZED MULTIMEDIA NATURAL PHENOMEOLOGICAL

					42 - 4					
Inventors	Licenses	M* 子歌(の・1994)	110/4/04 14		Title	2250 May 1986	Remarks	4000	7	
Filing Data	Related Party Info Actions		a What I have	Client Info		Expenses	1,34, 0 15,73 h	Ext Files	q.	Prince C
Status	Filed	¥	First Filing () ate	08/13/1999	7		,		
Sub Status	Pending	-					1.			
Parent Country		T	Parent Filing	g Date	08/13/1999	7				
Parent Number	·		Parent Gran	t Date		7	±#.			
Total Claims			Ind. Claims	Designs		7				
Application Number	11	0/004623	Filing Date		12/03/2001	7				
Patent Number			Issue Date			7		1		
Publication Number			Publication	Date						
Assigned		-	Expiration D	ate			1 330			
Convention Type			Tax Base D	ate		7		San Ben San Service		
Responsible Office	Minneapolis/St. Paul	-	Next Tax D	ate						is a constant
Created: 03/11/2003 Updated: 11/24/2003 3:38 PM By: HNK										

> JAN - 8 2094 TC 2800 TAIL ROOM